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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/887,665	01/12/2000	KOJI MINAMI	0925-0154P	9884

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EXAMINER
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YENKE, BRIAN P

ART UNIT	PAPER NUMBER
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2614

DATE MAILED: 08/25/2003

19

Please find below and/or attached an Office communication concerning this application or proceeding.

**Office Action Summary**

Application No.

09/887,665

Applicant(s)

MINAMI ET AL.

Examiner

BRIAN P. YENKE

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-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

**Period for Reply**

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133).
- Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

**Status**

- 1) ☒ Responsive to communication(s) filed on RCE (01 Aug 03)/Amendment (09 Jul 03).
- 2a) ☐ This action is FINAL. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

**Disposition of Claims**

- 4) ☒ Claim(s) 11-21 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 11-21 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

**Application Papers**

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
- 11) ☐ The proposed drawing correction filed on \_\_\_\_\_ is: a) ☐ approved b) ☐ disapproved by the Examiner.  
If approved, corrected drawings are required in reply to this Office action.
- 12) ☐ The oath or declaration is objected to by the Examiner.

**Priority under 35 U.S.C. §§ 119 and 120**

- 13) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).  
a) ☐ All b) ☐ Some \* c) ☐ None of:  
1. ☐ Certified copies of the priority documents have been received.  
2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.  
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).  
\* See the attached detailed Office action for a list of the certified copies not received.
- 14) ☐ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. § 119(e) (to a provisional application).  
a) ☐ The translation of the foreign language provisional application has been received.
- 15) ☐ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. §§ 120 and/or 121.

**Attachment(s)**

- 1) ☐ Notice of References Cited (PTO-892) 4) ☐ Interview Summary (PTO-413) Paper No(s). \_\_\_\_\_
- 2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948) 5) ☐ Notice of Informal Patent Application (PTO-152)
- 3) ☐ Information Disclosure Statement(s) (PTO-1449) Paper No(s) \_\_\_\_\_ 6) ☐ Other: \_\_\_\_\_

### **DETAILED ACTION**

1. A request for continued examination under 37 CFR 1.114, including the fee set forth in 37 CFR 1.17(e), was filed in this application after final rejection. Since this application is eligible for continued examination under 37 CFR 1.114, and the fee set forth in 37 CFR 1.17(e) has been timely paid, the finality of the previous Office action has been withdrawn pursuant to 37 CFR 1.114. Applicant's submission filed on 01 August 2003 has been entered.
2. Applicant's arguments filed on 01 August 2003 have been fully considered but they are not persuasive.

### ***Claim Objections***

3. Claim 20 objected to because of the following informalities:  
line 3, states "with another control corresponding"  
it should state, "with another control signal corresponding"  
Appropriate correction is required.

### ***Claim Rejections - 35 USC § 103***

4. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

4a. Claims 11-13, 15 and 17-21 are rejected under 35 U.S.C. 103(a) as being unpatentable over Kunzman et al., US 6,054,832 832 in view of Hewlett et al, US 5,812,303

In considering claims 11-12 and 15;

*a) the claimed light source...* is met by lamp 14 (Fig 1)

*b) the claimed light-transmitting filters...* is met by color wheel 18 which includes a respective blue, red, green and clear (white) (50, 54) color segments (Fig 4)

*c) the claimed light valve...* is met by spatial light modulator 28 (Fig 1)

*e) the claimed a signal converter portion to control said white light-transmitting filter using a control signal* is met by timing and electronics 24 which gives operating instructions and communicates with sensor board 22 and motor 20 (Fig 1, col 3, line 23-33).

However, Kunzman remains silent on which filter (white, non-white) is used in displaying information corresponding to the higher-order and lower-order bits of digital data (e). Kunzman does disclose a color wheel 18 which includes clear filter segments (50,54) which are used to increase the color efficiencies (col 2, line 15-35) and to control the brightness for all areas of the image, making dark areas appear correctly, while not washing out the bright areas (col 3, line 11-21), where the clear (white) filters are used to properly transmit the correct colors at the proper timing, where the clear segment is used to transition properly between segments (col 4, line 38-44). Kunzman also discloses a color wheel 18 which includes a blue, green and red segment (Fig 4).

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The examiner incorporates, Hewlett et al., US 5,812, 303 which discloses the use of NDF (neutral density filter) for each color (RGB), where the NDF filter is used in conjunction with the respective color (RGB) segment. The NDF segment is used to display the lower order bit thereby increasing the minimum amount of time for display of the lower significance bits (col 2, line 9-14) while displaying the most significant bits with the RGB filters, which allows more bits per sample in the display images and produces images with fewer artifacts and images that more closely match that of conventional display systems (col 2, line 9-18).

Therefore, it would have been obvious to one of ordinary skill in the art at the time of the invention to modify, Kunzman which discloses an electronically programmable color wheel which includes clear filter segments to control the brightness of all areas of the image and to properly transmit the correct colors at the proper time, with Hewlett, by incorporating neutral density filters into the color wheel, to display an image with fewer artifacts, and increasing the number of bits available for display.

In considering claims 13 and 17,

*The claimed wherein if brightness required by the input data...is met by Kunzman*

Which utilizes the clear (white) segments of the color wheel 18 to adjust the dark states/areas of the image and the brightness state/area of the image (col 3, line 11-22, line 59-67 to col 4, line 1-9)

In considering claims 18-19,

*a) the claimed decomposing light from a light source into a plurality of colors, one of plurality of colors being white* is met where the light from light source 14 is decomposed into a plurality of colors via color 18 (Fig 1) where the color wheel includes a clear segment (50, 54) for decomposing the light into the white color

*b) the claimed controlling a white-light transmitting filter of a set of filters with a control signal* is met by timing and electronics 24 which gives operating instructions and communicates with sensor board 22 and motor 20 (Fig 1, col 3, line 23-33).

*c) the claimed displaying information* is met where the image created by the array of individual elements on the array is displayed (col 3, line 46-48)

*d) the claimed projecting said plurality of colors from said set of filters* is met by projection optics 30 (Fig 1).

However, Kunzman remains silent on displaying information corresponding to the higher-order and lower-order bits of digital data (e). Kunzman does disclose a color wheel 18 which includes clear filter segments (50,54) which are used to increase the color efficiencies (col 2, line 15-35) and to control the brightness for all areas of the image, making dark areas appear correctly, while not washing out the bright areas (col 3, line 11-21), where the clear (white) filters are used to properly transmit the correct colors at the proper timing, where the clear segment is used to transition properly between segments (col 4, line 38-44). Kunzman also discloses a color wheel 18 which includes a blue, green and red segment (Fig 4).

The examiner incorporates, Hewlett et al., US 5,812, 303 which discloses the use of NDF (neutral density filter) for each color (RGB), where the NDF filter is used in

conjunction with the respective color (RGB) segment. The NDF segment is used to display the lower order bit thereby increasing the minimum amount of time for display of the lower significance bits (col 2, line 9-14) while displaying the most significant bits with the RGB filters, which allows more bits per sample in the display images and produces images with fewer artifacts and images that more closely match that of conventional display systems (col 2, line 9-18).

Therefore, it would have been obvious to one of ordinary skill in the art at the time of the invention to modify, Kunzman which discloses an electronically programmable color wheel which includes clear filter segments to control the brightness of all areas of the image and to properly transmit the correct colors at the proper time, with Hewlett, by incorporating neutral density filters into the color wheel, to display an image with fewer artifacts, and increasing the number of bits available for display.

In considering claims 20-21,

As stated above, with respect to independent claims 11 and 18, Kunzman does not explicitly disclose the use of higher-order, lower-order bits in the system.

Kunzman does disclose a color wheel 18 which includes clear filter segments (50,54) which are used to increase the color efficiencies (col 2, line 15-35) and to control the brightness for all areas of the image, making dark areas appear correctly, while not washing out the bright areas (col 3, line 11-21), where the clear (white) filters are used to properly transmit the correct colors at the proper timing, where the clear

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segment is used to transition properly between segments (col 4, line 38-44). Kunzman also discloses a color wheel 18 which includes a blue, green and red segment (Fig 4).

Kunzman also discloses that the segments on the color wheel are controlled by timing and electronics 24 which gives operating instructions and communicates with sensor board 22 and motor 20 (Fig 1, col 3, line 23-33).

The examiner incorporated, Hewlett et al., US 5,812, 303 which discloses the use of NDF (neutral density filter) for each color (RGB), where the NDF filter is used in conjunction with the respective color (RGB) segment. The NDF segment is used to display the lower order bit thereby increasing the minimum amount of time for display of the lower significance bits (col 2, line 9-14) while displaying the most significant bits with the RGB filters, which allows more bits per sample in the display images and produces images with fewer artifacts and images that more closely match that of conventional display systems (col 2, line 9-18).

Therefore, it would have been obvious to one of ordinary skill in the art at the time of the invention to modify/utilize in Kunzman, the clear segment to display the lower order bits and the RGB filters to display the higher order bits, where each filter segment is controlled respectively via timing and electronics 24 (thus being different control signals), to control the brightness of all areas of the image and to properly transmit the correct colors at the proper time, with Hewlett, by incorporating neutral density filters into the color wheel, to display an image with fewer artifacts, and increasing the number of bits available for display.



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4b. Claims 14 and 16 are rejected under 35 U.S.C. 103(a) as being unpatentable over Kunzman et al., US 6,054,832 in view of Hewlett et al, US 5,812,303 and applicants admitted prior art.

In considering claim 14,

Kunzman does not specifically disclose a spatial light modulator that reflects, Kunzman discloses a spatial light modulator (light valve) which is used to (transmit) the display received image data onto a display.

The use of a spatial light modulator such as a digital micro-mirror device (DMD) is a well-known type of modulator which transmits/reflects image data by either being in the on/off state, which increase the overall efficiency of the display system, by decreasing dead/lag times between color-changes.

As disclosed by applicant Fig 2, Page 2, line 20, the use of a DMD device is a conventional light valve which is used to display image data, where the DMD device is either in an Off or On state.

Therefore, it would have been obvious to one of ordinary skill in the art at the time of the invention to modify Kunzman, which discloses an electronically programmable color wheel to increase the efficiency of the displayed image, by eliminating transitions between colors and increasing brightness, with a conventional light valve's such as a DMD which is used to increase the efficiency of a displayed image.

In considering claim 16,

Kunzman does not specifically disclose/discuss a value which is obtained by integrating the product of spectral transmission factor of the white light filters and the spectral luminous efficiency with respect to wavelength being less than the sum of values of the non-white filters in the calculation.

However, as disclosed by applicant's admitted prior art, Fig 2, a color wheel with filter segments Crd, Cgd, Cbd of lower transitivity to increase the grey scale of the image data, where the filter segments Crd, Cgd and Cbd have a transitivity of 1/8 their respective filters Cr, Cg and Cb. The filter segments Crd, Cgd, and Cbd, are used to increase the grey scale (brightness) of the displayed image.

Therefore, it would have been obvious to one skilled in the art at the time of the invention to modify Kunzman, which discloses a display system which utilizes clear/white segments in a color-wheel to increase the efficiency and brightness of a displayed image, with applicant's admitted prior art, which would produce a value (as claimed) where the value of the clear (white) filter is less than the non-clear (white) filters (R,G,B), since the clear filter segments are used to enhance the color/brightness of a displayed color image (not a black-white image).

### ***Applicant's Arguments***

a) Regarding claim 11, applicant states that the references cited neither alone or in combination do not disclose a signal converter portion to control a white light transmitting filter using a control signal that correlates to lower order bits.

- b) Regarding claims 11-17, the applicant states that the color wheel of Hewlett is broken into different regions for each color and it is unclear the region to which a control signal would correspond.
- c) Applicant states that the office action fails to provide any evidence of motivation to combine the white regions of Kunzman with the three color regions of Hewlett.

***Examiner's Response***

- a) The examiner disagrees as stated above. Kunzman does disclose the controlling of each filter segment including a clear segment (white) via timing and electronics 24, thus Kunzman utilizes different control words/signals to control either of the clear segments or the RGB segments. As to the signal which correlates to lower order bits, the examiner incorporated Hewlett which taught that use of neutral density filters for each segment to display the lower order bits. Thus the examiner concluded it would have been obvious to one of ordinary skill in the art to modify/utilize in Kunzman the use of clear segments (NDF segments) to display the lower order bits, which would provide an image with fewer artifacts, and increasing the number of bits available for display.
- b. The examiner provides the applicant, (col 5, line 34-41) where the Hewlett reference teaches that the neutral density filter region can be a single segment or multiple segments as shown in Fig 1. The examiner incorporated the Hewlett reference which taught the conventional use of a neutral density filter (clear, white) to display lower order bits.

c. The examiner disagrees. Kunzman disclose a color wheel which includes a RGB segment and two clear segments (50, 54) (Fig 4). Hewlett discloses a color wheel which includes RGB segment which also include one or more neutral density filters. Thus combination of Kunzman and Hewlett to provide a color wheel with RGB segments along with one or more clear (neutral density segments) segments to display the lower order bits would be an obvious modification, where the controller (timing and electronics 24) which controls the position of the color wheel would obviously need to position the appropriate segment based on the bit (high or low).

5. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Brian Yenke whose telephone number is (703) 305-9871. The examiner work schedule is Monday-Thursday, 0730-1830 hrs.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's Supervisor, John W. Miller, can be reached at (703)305-4795.

**Any response to this action should be mailed to:**

Commissioner of Patents and Trademarks  
Washington, D.C. 20231

**or faxed to:**

**(703) 872-9314**

Hand-delivered responses should be brought to Crystal Park II, 2121 Crystal Drive, Arlington, VA, Sixth Floor (Receptionist). Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the Technology Center 2600 Customer Service Office whose telephone number is

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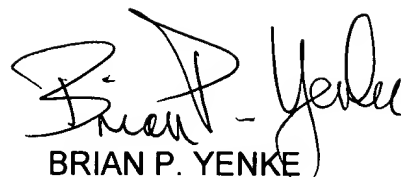
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(703)305-4700.



B.P.Y

August 24, 2003



BRIAN P. YENKE

Patent Examiner

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